

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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Patent Application

Inventors: Christoph G. Erben et al

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Title: ELECTRO-OPTICAL MODULATORS

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Commissioner for Patents

P.O. Box 1450

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Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT UNDER 37 C.F.R. §§ 1.115, 1.121

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I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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a. Introductory Comments

Claims 1 – 5 are pending.

Herein, Applicants amend the specification and add new claim 6.

Applicants request entry of this amendment prior to preparation of the first

5 Office Action.